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PATENT Customer No. 22,852 Attorney Docket No. 4632-66

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Minoru I Imamata at al

Minoru Umemoto et al. ) Group Art Unit: To be Assigned

Application No.: 10/540,534 ) Examiner: To be Assigned

Filed: June 24, 2005

For: Method of Refining Metal Surface ) Co

and Metal Product by the Method

Confirmation No.: To be Assigned

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Enclosed for the consideration of the Examiner in connection with the prosecution of this case is a copy of a Search Report issued in the corresponding international patent application together with a copy of each of the cited references.

In accordance with M.P.E.P. § 609, for the relevance of the non-English language references, please refer to the English language version of the Search Report which indicates the relevance of these references. Abstracts in English are also provided for each of the Japanese patent publications and WO 02/9908.

Also enclosed is a copy of the A. Niku-Lari article cited on page 1 of the specification.

A Form PTO/SB/08 listing eight references is enclosed.

If there is any fee due in connection with the filing of this Statement, please charge such fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: October 12, 2005

Arthur S. Garrett

Reg. No. 20,338

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IDS	Form	PTO/SB/08:	Substitute for	form	1449A/PTO

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Complete if Known						
Application Number	10/540,534					
Filing Date	June 24, 2005					
First Named Inventor	Minoru Umemoto	$\overline{}$	aro	<u>~</u> _		
Art Unit	To be Assigned	7 0				
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Attorney Docket Number	4632-66	10	2	R		
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U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where	
Initials	No.¹	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		JP 1-240615 A	09-26-89	Nissan Motor Co., Ltd.		X Abstract
		JP 8-001514 A	01-09-96	Toshiba Corp.		X Abstract
		JP 2000-94330 A	04-04-00	Sinto Kogyo Ltd.		X Abstract
		WO 02/09908 A1	02-07-02	Sinto Kogyo Ltd.		X Abstract

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		MINORU UMEMTO, "Shot Peening nado no Hyomem Shori to Kessho Ryubisaika," The Japan Society for Heart Treatment Taikai Koen Gaiyoshu, Vol. 55, pages 47 -48.	
		MINORU UMEMTO ET AL., "Tekko Zairyo no Ball Mill ni yoru Nano Kesshoka Kiko no Kaimei to sono Bulk- Zai Hyomem Nano Kesshoka heno Oyo," Japan Society of Powder and Powder Metallurgy Koen Gaiyoshu, Vol. 2002, Shuki page 158	
		LIU G. ET AL. "Low carbon steel with nano structured surface layer induced by high energy shot penning," SCR. MATER, VOL. 44, NO. 8/9, PAGES 1791-1795.	
		A. NIKU-LARI, First International Conference on Shot Peening, United Kingdom, Pergamon Press, 1981, p. 192.	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 977689\_1.DOC